

# COMPLIANCE BASICS

APRIL 9, 2026  
VIRTUAL



This half-day session is designed for those bankers who are new to the world of banking compliance – which can be overwhelming and in a constant state of change. Topics to be covered include:

- Who are the regulators – which state and federal agencies impact banking?
- State Issues – laws and regulations
- Federal Issues – laws and regulations
- Banking Compliance Terminology
- Resources – where to find all this stuff!
- Compliance vs. Legal vs. Bank Policies/Procedures/Systems
- Common Violations
- Priorities
- What I wish I'd known when I was a new compliance officer!

## Schedule

8 a.m	Zoom Waiting Room Opens
8:30 a.m.	Seminar begins
Noon	Adjournment



## Virtual Live Format

Attendees will need internet access and a standard web browser to join this video and web conferencing. Attendees will receive an email with a link to join the virtual seminar, handouts and any additional information a few days before the event.

A personal Zoom account is not necessary. You will use the link, meeting ID and password we provide.

- You can log in on a desktop computer, laptop or download the zoom app on a smart device.
- Audio on computer or a phone line
- Recording available to all access registrants

## Speakers

**Carol Barnett**, Senior Vice President  
Compliance Services  
Missouri Bankers Association

**Gina Jolly**, Vice President  
Compliance Services  
Missouri Bankers Association

**Richard Parker**, Vice President  
Compliance Services  
Missouri Bankers Association

# REGISTRATION REQUEST – COMPLIANCE BASICS – APRIL 9, 2026

## Registration Information

**Member Fee.....\$175**

**All Access Fee** *(includes recording)*

**-Up to 5 Registrations.....\$500**

**-6 or More Registrations.....\$750**

**Non-member Fee.....\$700**

**Compliance Force.....No Charge**

Cancellation/Refunds: full refunds will be granted for cancellations received by MBA at least 10 days before the seminar date. After that date, an administrative fee of \$10 per canceled registration will be retained. Cancellations will not be accepted four days before the seminar. Substitutions are always permitted.

## Three Ways to Register



573-636-8151



*mobankers.com*



Mail check payable to Missouri Bankers Association and form to:

Missouri Bankers Association

P.O. Box 57

Jefferson City, MO 65102

## Compliance Force

Bankers from Compliance Force subscriber banks attend at no charge and do not need to register.

## Bill to

Bank \_\_\_\_\_

Address \_\_\_\_\_

City/State/ZIP \_\_\_\_\_

Phone \_\_\_\_\_

## Names of Attendees

Name \_\_\_\_\_

Title \_\_\_\_\_

Email \_\_\_\_\_

Name \_\_\_\_\_

Title \_\_\_\_\_

Email \_\_\_\_\_

Name \_\_\_\_\_

Title \_\_\_\_\_

Email \_\_\_\_\_

## Method of Payment

**Member Fee.....\$175 #\_\_\_\_\_ \$\_\_\_\_\_**

**All Access Fee.....**

**-Up to 5 Registrations.....\$500 \$\_\_\_\_\_**

**-6 or More Registrations.....\$750 \$\_\_\_\_\_**

**Non Member.....\$700 #\_\_\_\_\_ \$\_\_\_\_\_**

**Compliance Force.....No Charge**

**Total amount due.....\$\_\_\_\_\_**

☐ Check enclosed, payable to:  
*Missouri Bankers Association*

☐ Invoice the Bank

☐ Credit Card Payment **(Please type.)**

Exp. Date \_\_\_\_\_

No \_\_\_\_\_

CVV \_\_\_\_\_ ZIP \_\_\_\_\_

Print Name \_\_\_\_\_

Signature \_\_\_\_\_