

COMPLIANCE BASICS

APRIL 9, 2026
VIRTUAL



This half-day session is designed for those bankers who are new to the world of banking compliance – which can be overwhelming and in a constant state of change. Topics to be covered include:

- Who are the regulators – which state and federal agencies impact banking?
- State Issues – laws and regulations
- Federal Issues – laws and regulations
- Banking Compliance Terminology
- Resources – where to find all this stuff!
- Compliance vs. Legal vs. Bank Policies/Procedures/Systems
- Common Violations
- Priorities
- What I wish I'd known when I was a new compliance officer!

Schedule

8 a.m.	Zoom Waiting Room Opens
8:30 a.m.	Seminar begins
Noon	Adjournment



Virtual Live Format

Attendees will need internet access and a standard web browser to join this video and web conferencing. Attendees will receive an email with a link to join the virtual seminar, handouts and any additional information a few days before the event.

A personal Zoom account is not necessary. You will use the link, meeting ID and password we provide.

- You can log in on a desktop computer, laptop or download the zoom app on a smart device.
- Audio on computer or a phone line
- Recording available to all access registrants

Speakers

Carol Barnett, Senior Vice President
Compliance Services
Missouri Bankers Association

Gina Jolly, Vice President
Compliance Services
Missouri Bankers Association

Richard Parker, Vice President
Compliance Services
Missouri Bankers Association

REGISTRATION REQUEST – COMPLIANCE BASICS – APRIL 9, 2026

Registration Information

Member Fee.....\$175

All Access Fee (includes recording)

-Up to 5 Registrations.....\$500

-6 or More Registrations.....\$750

Non-member Fee.....\$700

Compliance Force.....**No Charge**

Cancellation/Refunds: full refunds will be granted for cancellations received by MBA at least 10 days before the seminar date. After that date, an administrative fee of \$10 per canceled registration will be retained. Cancellations will not be accepted four days before the seminar. Substitutions are always permitted.

Three Ways to Register

 573-636-8151

 mobankers.com

 Mail check payable to Missouri Bankers Association and form to:

Missouri Bankers Association
P.O. Box 57
Jefferson City, MO 65102

Compliance Force

Bankers from Compliance Force subscriber banks attend at no charge and do not need to register.

Bill to

Bank _____

Address _____

City/State/ZIP _____

Phone _____

Names of Attendees

Name _____

Title _____

Email _____

Name _____

Title _____

Email _____

Name _____

Title _____

Email _____

Method of Payment

Member Fee.....\$175 # _____ \$ _____

All Access Fee.....

-Up to 5 Registrations.....\$500 \$ _____

-6 or More Registrations.....\$750 \$ _____

Non Member.....\$700 # _____ \$ _____

Compliance Force.....No Charge

Total amount due.....\$ _____

Check enclosed, payable to:
Missouri Bankers Association

Invoice the Bank

Credit Card Payment **(Please type.)**

Exp. Date _____

No _____

CVV _____ ZIP _____

Print Name _____

Signature _____